

- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5468-08400.

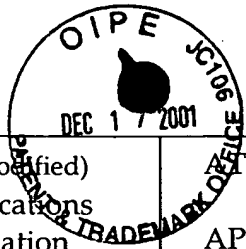
Respectfully submitted,



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Date: 12/6/01



Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

APP. DKT. NO. AUS920010399US1
APPLICANT: Kumhyr et al.
FILING DATE: September 28, 2001

SERIAL NO. 09/966,516
GROUP: 2671

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	5,606,702	2/1997	Diel et al.			
	A2	5,815,142	9/1998	Allard et al.			
	A3	6,154,465	11/2000	Pickett			
	A4	6,167,366	12/2000	Johnson			
	A5	6,212,548	4/2001	DeSimone et al.			
	A6	6,266,701	7/2001	Sridhar et al.			

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DEC 20 2001**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	A7	Foley, "IBM advances Web services strategy," March 2001, pp. 1-3.
	A8	Olsen, "Privacy terms revised for Microsoft Passport," April 2001, pp. 1-3.
	A9	Wilcox, "With HailStorm, think fee, not free," March 2001, pp. 1-4.
	A10	Wilcox, "Microsoft's HailStorm unleashed," March 2001, pp. 1-4.
	A11	"Passport Q&A for Businesses," © 1999-2001 Microsoft Corporation, pp. 1-11.
	A12	Gibson, "The Strange Tale of the Attacks Against GRC.com," June 2001, pp. 1-28.
	A13	Young, "Debugging TCP/IP," September 2000, pp. 1-6.
	A14	Braden, "TIME-WAIT Assassination Hazards in TCP," May 1992, pp. 1-8.
	A15	Faber et al., "Avoiding the TCP TIME_WAIT state at Busy Service," August 1997, pp. 1-13.
	A16	IBM Corporation, "Using the Applications Management Specification in a TMA Environment," June 1997, pp. 1-6.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)